

Performance-related Q and A's from March 15 State Youth Liaison Conference Call (Region V states) – guests included Gerardo Lara and Tommy Ouyang from DOL's Region V Performance Team.

Question #1:

As follow up to State Performance webinars provided by DOL in late January/early February: Why was Q2 Employment After Exit the only cohort that DOL was able to produce? Answer: As explained by the presenters and in TEN 14-21, it is taking longer to collect sufficient baseline data to produce reliable estimates for the Q4 performance indicators and core programs.

Question #2:

In the TA call on Statistical Adjustment Models in February, Cesar Acevedo (National Office) indicated that DOL attempting multiple methods to try to produce valid models for performance negotiations. Strategies included are:

- use multiple past years of WIOA data to try to create indicators that can better compensate for COVID conditions,
- and/or using non-linear regression methods in the hopes it can compensate for the rapid and significant changes in conditions.

How did the spike in unemployment rates during the Covid Emergency impact DOL statistical adjustment model? Answer: DOL evaluated the SAM's effectiveness in accounting for the COVID impact, and found the model to sufficiently adjust for these impacts with respect to the measures being assessed here. The model did overestimate 2<sup>nd</sup> Q Employment Rate results, indicating there was variation in the state results that was not represented in the model. DOL will continue to refine the model with additional data and improved methodologies.

Question #3: Does DOL plan to introduce a new Statistical Adjustment Model?

Answer: Yes, it will be introduced in upcoming DOL performance webinar on March 24.

Question #4: Does Region V Performance Team plan to bring back the Quarterly Meetings for Performance/Reporting staff? Answer: Yes, would like to regroup and have a quarterly meeting after performance negotiation. Q4 of the FY or Q1 of the new PY will be our target or July-Sept quarter.

Question #3: Is DOL monitoring states on the issue of Performance Accountability in PY20 and PY21? If yes, what questions are asked of states? Answer: Yes, The Region is monitoring States for performance. WIOA performance is a priority area. Further, the GAO was looking at implementation of the WIOA Performance Acct System and what ETA has done to promote this. Further, both the GAO, and the Department strongly encourage the integration of case management systems and this is an area that all of the FPOs are mindful of when monitoring. This PY, these are the WIOA monitoring:

- PY 21 Q1 – IL
- PY 21 Q3 – WI
- PY 21 Q4 – IN

## **Core Guide**

### **WIOA Shared monitoring Guide**

**We look at DV process (data collection and validation, local area model and negotiation process, common exit implementation, causes for low performance on indicators.**

**The Department is working on a supplement to the Monitoring Guide dedicated to Performance.**

**Question #4: When is the earliest that states/local areas have to be concerned about “sanctions? Answer: We don’t have any Region 5 states failing any indicators for PY20. If any were, then a second PY of failure would subject a state to sanctions for PY 2022. Assuming a performance failure in PY21 and then another one in PY22, then sanction should hit at around PY23.**

**Question #5: What is the timeline for negotiations of PY22 & PY23 WIOA Performance Goals? Can State WIOA State Plan updates be approved before performance negotiations are completed?**

**Answer: We plan to negotiate with States in May/early June. No, performance negotiations need to be completed prior to State Plan approval.**